



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.usdio.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/040.947

STEPTOE & JOHNSON LLP 1330 Connecticut Avenue, N.W.

Washington, DC 20036

01/09/2002

Stephen I. McTaggart

87742.0001

CONFIRMATION NO. 5148

FORMALITIES LETTER

OC000000007450774

Date Mailed: 02/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$27.
 - \$27 for 3 total claims over 20.
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 462.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/03/2002 ECERAHA1 00000120 10040947

01 FC:201 02 FC:205 03 FC-203 370.00 OP 65.00 OP

27.00 OP

Group Art Unit: 2681

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Applicant:

McTAGGART

Appln. No.:

10/040,947

Filed:

01/09/2002

For:

PAPER PRINTED RADIO

Assistant Commissioner of Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Assistant Commissioner of Patents Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts mailed February 8, 2002, enclosed are the following:

- 1. An executed Declaration and Power of Attorney for Patent Application;
- 2. a copy of the Notice to File Missing Parts; and
- 3. a check in the amount of \$462.00 for the filing and surcharge fees.

The Commissioner is hereby authorized to charge/credit any fee

deficiencies/overpayments to Deposit Account No. 19-4293 (Order No.87742.0003).

Respectfully submitted,

1 Walt

Scott D. Watkins

Reg. No. 36,715

Steptoe & Johnson LLP 1330 Connecticut Ave., N.W. Washington, DC 20036 (202) 429-3000

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

of 1995, no persons are required to re for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| (\$) | 462.00 |
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| Complete if Known | | | | |
| Application Number | 10/040,947 | | | |
| Filing Date | 01/09/2002 | | | |
| First Named Inventor | McTAGGART | | | |
| Examiner Name | n/a | | | |
| Group Art Unit | 2681 | | | |
| Attorney Docket No. | 87742.0003 | | | |

| 1. | METHOD OF PAYMENT | FEE CALCULATION (continued) | | | | |
|---|--|---|----------|--|--|--|
| Deposit 19-4-293 | , , , , , , , , , , , , , , , , , , , | 3. ADDITIONAL FEES | | | | |
| 19-4/293 Fee Paid Fee | — indicated fees and credit any overpayments to. | | | | | |
| Deposit Steptoe & Johnson LLP 105 130 205 65 Surcharge - late filling fee or oath 17 18 201 18 201 18 201 19 19 106 19 106 106 10 106 10 106 10 10 | | | Fee Paid | | | |
| Charge Any Additional Fee Required 127 50 227 25 Surcharge - late provisional fling fee or cover sheet 139 130 130 Non-English specification 147 2,520 147 2,520 | Deposit CA - A - Q laborate L D | Code (\$) Code (\$) | 65.00 | | | |
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| Applicant claims small entity status. 139 130 139 130 Non-English specification 147 2,520 147 | Charge Any Additional Fee Required | | | | | |
| 2. | _ | 139 130 139 130 Non-English specification | | | | |
| Check | See 37 CFR 1.27 | 147 2,520 147 2,520 For filing a request for ex parte reexamination | | | | |
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| 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Description Code (\$) | Order D | | | | | |
| Large Entity Small Entity Fee | | 115 110 215 55 Extension for reply within first month | | | | |
| Total Claims | | 116 400 216 200 Extension for reply within second month | | | | |
| 101 740 201 370 Utility filing fee 370.00 106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee 114 160 214 80 Provisional filing fee 214 80 Provisional filing fee 215 370.00 114 160 214 80 Provisional filing fee 216 217 220 220 160 Filing a brief in support of an appeal 121 2280 221 140 Request for oral hearing 128 1,510 138 1,510 Petition to revive - unavoidable 121 2280 221 140 Petition to revive - unavoidable 141 1,280 241 640 Petition to revive - unintentional 142 1,280 242 640 Utility issue fee (or reissue) 143 460 243 230 Design issue fee 144 620 244 310 Plant issue fee 144 620 244 310 Plant issue fee 144 620 244 310 Plant issue fee 145 180 Submission of Information Disclosure Strnt 146 740 280 204 140 Multiple dependent claims in excess of 3 104 280 204 140 Multiple dependent claims over original patent 199 84 209 42 "Reissue independent claims over original patent 199 84 209 42 "Reissue independent claims over original patent 199 84 209 42 "Reissue claims in excess of 20 and over original patent 199 84 209 42 "Reissue claims in excess of 20 and over original patent 199 84 209 42 "Reissue claims in excess of 20 and over original patent 199 84 209 42 "Reissue claims in excess of 20 and over original patent 199 84 209 42 "Reissue claims in excess of 20 and over original patent 199 84 209 42 "Reissue claims in excess of 20 and over original patent 199 84 209 42 "Reissue claims in excess of 20 and over original patent 199 84 209 42 "Reissue claims in excess of 20 and over original patent 199 900 169 900 Request for continued Examination (RCE) 199 900 169 900 Request for continued Examination (RCE) 199 900 169 900 Request for continued Examination 199 169 900 Request for continued Examinati | Fee Fee Fee Fee Description | 117 920 217 460 Extension for reply within third month | | | | |
| 106 330 206 165 Design filing fee | | 118 1,440 218 720 Extension for reply within fourth month | | | | |
| 107 510 207 255 Plant filling fee 108 740 208 370 Reissue filing fee 114 160 214 80 Provisional filing fee 120 320 220 160 Filing a brief in support of an appeal 121 280 221 140 Request for oral hearing 121 280 221 140 Request for oral hearing 122 280 221 140 Request for oral hearing 122 280 221 140 Request for oral hearing 123 81,510 138 1,510 Petition to institute a public use proceeding 121 1,280 241 640 Petition to revive - uniavoidable 142 1,280 242 640 Utility issue fee (or reissue) 142 1,280 242 640 Utility issue fee (or reissue 122 130 122 130 Petitions to the Commissioner 122 130 122 130 Petitions to the Commissioner 123 150 Processing fee under 37 CFR 1.17(q) 126 180 130 180 130 180 130 180 130 180 130 180 130 180 130 180 130 180 130 180 130 180 130 180 130 180 130 180 130 | 370.00 | 128 1,960 228 980 Extension for reply within fifth month | | | | |
| 108 740 208 370 Reissue filing fee | | 119 320 219 160 Notice of Appeal | | | | |
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| SUBTOTAL (1) (\$) 370.00 140 110 240 55 Petition to revive - unavoidable 22. EXTRA CLAIM FEES Extra Claims Fee from below 9.00 27.00 | | 121 280 221 140 Request for oral hearing | | | | |
| 2. EXTRA CLAIM FEES Total Claims 23 -20** = 3 X 9.00 = 27.00 Large Entity Small Entity Fee | | 138 1,510 138 1,510 Petition to institute a public use proceeding | | | | |
| Total Claims 23 -20** = 3 X 9.00 = 27.00 Independent 3 -3** = 0 X = 144 620 244 310 Plant issue fee Large Entity Small Entity Fee | SUBTOTAL (1) [(\$) 370.00 | 140 110 240 55 Petition to revive - unavoidable | | | | |
| Total Claims Independent Indep | | 141 1,280 241 640 Petition to revive - unintentional | | | | |
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| Multiple Dependent Large Entity Small Entity Fee | Total Claims -20 ^ | , | | | | |
| Large Entity Small Entity Fee | Claims | T 020 211 010 | | | | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Code (\$) 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claims over original patent 109 84 209 42 "Reissue independent claims over original patent 100 18 210 9 "Reissue claims in excess of 20 and over original patent SUBTOTAL (2) 126 180 126 180 Submission of Information Disclosure Stmt Recording each patent assignment per property (times number of properties) 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) 179 740 279 370 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) | Multiple Dependent | 122 130 122 130 Petitions to the Commissioner | | | | |
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| and over original patent 169 900 169 900 Request for expedited examination of a design application Other fee (specify) Other fee (specify) | • | 179 740 279 370 Request for Continued Examination (RCE) | | | | |
| SUBTOTAL (2) (\$) 27.00 Other fee (specify) | | | _ | | | |
| (\$) 65.00 | SUBTOTAL (2) (\$) 27.00 | | | | | |
| | ., | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 65 | 5.00 | | | |

| SUBMITTED BY Complete (if applicable) | | | | | if applicable) | |
|---------------------------------------|------------------|---------|-------------|--|----------------|---------------|
| Name (Print/Type) | Scott D. Watkins | N FCC | II_{\sim} | Registration No. (Attorney/Agent) 36,715 | Telephone | 202-429-3000 |
| Signature | 11/10 | IN Wall | 7 | | Date | April 1, 2002 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Par of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/040,947 Application Number TRANSMITTAL 01/09/2002 **Filing Date** McTAGGART **FORM First Named Inventor** (to be used for all correspondence after initial filing) 2681 **Group Art Unit** ln/a **Examiner Name** Attorney Docket Number 87742.0003 Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) Assignment Papers After Allowance Communication Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) **Provisional Application** Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please **Extension of Time Request** Address identify below): **Terminal Disclaimer Express Abandonment Request** Request for Refund **Declaration and Power of Attorney** Information Disclosure Statement CD, Number of CD(s). Copy of Notice to File Missing Parts Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Steptoe & Johnson LLP Scott D. Watkins Individual name Signature

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Signature

Date

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Date